

**EXHIBIT LIST**

| <b>EXHIBIT</b> | <b>DESCRIPTION</b>   |
|----------------|--|
| A              | NFA Certified Registration Documents                               |
| B              | Nevada Certified Corporate Registration Documents                  |
| C              | Utah Certified Corporate Registration Documents                    |
| D              | Wyoming Certified Corporate Registration Documents                 |
| E              | Documents produced by Bedrock Protection Agency                    |
| F              | Gemini account documents for Saffron & James Damien Scott accounts |
| G              | Bedrock Special Projects Group website                             |
| H              | James Damien Scott LinkedIn page                                   |
| I              | Documents produced by Preston Sterling Kerr                        |
| J              | Email from James Damien Scott to CFTC dated Nov. 20, 2020          |

## Exhibit A

### NFA Certified Registration Documents



August 13, 2019

**VIA ELECTRONIC MAIL**

George H. Malas, CAMS, CFE  
Futures Trading Investigator  
Division of Enforcement  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, Northwest  
Washington, DC 20581

Re: Bedrock Protection  
Agency, LLC; et al.

Dear Mr. Malas:

NFA would like to thank you for your request for information dated August 12, 2019. As was discussed in Janet Varnagis' follow-up call with you, enclosed are certifications of no record for Bedrock Protection Agency, LLC; Circle Society, Corp.; David Gilbert; Preston Sterling Kerr; Kinetic Marketing Systems, Inc.; Omicron Trust; Omnicron Trust; David Gilbert Saffron; James Damien Scott; Susan Lee Scott and Vitae Aurum. Also enclosed is a certification of no record for David L. Kagel for the time period of August 1989 to the present.

If you have further questions, please do not hesitate to call me at (312) 781-1300.

Sincerely,

A handwritten signature in black ink, appearing to read "Sandra A. Jung".

Sandra A. Jung  
Registration Manager

SAJ/jrv  
Enclosures

300 S. Riverside Plaza, Suite 1800 | Chicago, IL 60606 | 312-781-1300 | [www.nfa.futures.org](http://www.nfa.futures.org)

**CERTIFICATION**

With respect to: Bedrock Protection Agency, LLC

1. I, Sandra A. Jung, am employed by National Futures Association ("NFA") as Registration Manager. I also have served as Deputy Record Custodian since May 6, 1996. NFA is a futures association registered with the U.S. Commodity Futures Trading Commission ("CFTC") under Section 17 of the Commodity Exchange Act ("Act"). 7 U.S.C. § 21 (2006).
2. Under Sections 4f, 4k, 4n, 8a and 19 of the Act, the CFTC is authorized to collect and maintain registration records for each category of registration under the Act. 7 U.S.C. §§ 6f, 6k, 6n, 12a and 23 (2006). Under Sections 8a(10) and 17o of the Act, 7 U.S.C. §§ 12a(10) and 21o (2006), the CFTC has delegated to NFA the responsibility and authority to process and act as custodian of the official CFTC registration records for all categories of registration under the Act.
3. Pursuant to the CFTC's delegation of responsibility and authority, NFA receives, processes, stores and records all official CFTC registration records in the normal, ordinary and regular course of NFA's business. NFA has adopted Registration Rules, which the CFTC has reviewed and approved, governing access to and certification of the official CFTC registration records.
4. As Deputy Record Custodian, I am responsible for NFA's receipt, processing, storage and recording of all official CFTC registration records. As such, I am familiar with these records and the system that NFA uses to maintain these records.
5. In accordance with Rules 803(6), 803(7), and 902(11) of the Federal Rules of Evidence, CFTC registration records: (1) are regularly kept for persons and entities registered with the CFTC; (2) are made at or near the time by, or from information transmitted by, a person with knowledge of those records; (3) are kept in the course of a regularly conducted business activity; and (4) are made as a regular practice in the course of regularly conducted business activity.

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6. Under my supervision, I have caused to be conducted a review of these official CFTC records from July 1982 to the present, which disclosed the following information:

There is no record of a registration, an application for registration, or an exemption from registration in any capacity for Bedrock Protection Agency, LLC.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct.

Date: August 12, 2019



Deputy Record Custodian

National Futures Association  
300 South Riverside Plaza  
Suite 1800  
Chicago, Illinois 60606

**CERTIFICATION**

With respect to: Kinetic Marketing Systems, Inc.

1. I, Sandra A. Jung, am employed by National Futures Association ("NFA") as Registration Manager. I also have served as Deputy Record Custodian since May 6, 1996. NFA is a futures association registered with the U.S. Commodity Futures Trading Commission ("CFTC") under Section 17 of the Commodity Exchange Act ("Act"). 7 U.S.C. § 21 (2006).
2. Under Sections 4f, 4k, 4n, 8a and 19 of the Act, the CFTC is authorized to collect and maintain registration records for each category of registration under the Act. 7 U.S.C. §§ 6f, 6k, 6n, 12a and 23 (2006). Under Sections 8a(10) and 17o of the Act, 7 U.S.C. §§ 12a(10) and 21o (2006), the CFTC has delegated to NFA the responsibility and authority to process and act as custodian of the official CFTC registration records for all categories of registration under the Act.
3. Pursuant to the CFTC's delegation of responsibility and authority, NFA receives, processes, stores and records all official CFTC registration records in the normal, ordinary and regular course of NFA's business. NFA has adopted Registration Rules, which the CFTC has reviewed and approved, governing access to and certification of the official CFTC registration records.
4. As Deputy Record Custodian, I am responsible for NFA's receipt, processing, storage and recording of all official CFTC registration records. As such, I am familiar with these records and the system that NFA uses to maintain these records.
5. In accordance with Rules 803(6), 803(7), and 902(11) of the Federal Rules of Evidence, CFTC registration records: (1) are regularly kept for persons and entities registered with the CFTC; (2) are made at or near the time by, or from information transmitted by, a person with knowledge of those records; (3) are kept in the course of a regularly conducted business activity; and (4) are made as a regular practice in the course of regularly conducted business activity.

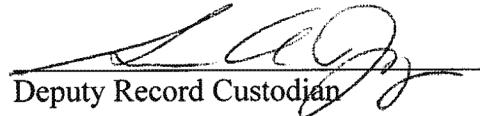
- 2 -

6. Under my supervision, I have caused to be conducted a review of these official CFTC records from July 1982 to the present, which disclosed the following information:

There is no record of a registration, an application for registration, or an exemption from registration in any capacity for Kinetic Marketing Systems, Inc.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct.

Date: August 12, 2019



Deputy Record Custodian

National Futures Association  
300 South Riverside Plaza  
Suite 1800  
Chicago, Illinois 60606

**CERTIFICATION**

With respect to: James Damien Scott

1. I, Sandra A. Jung, am employed by National Futures Association ("NFA") as Registration Manager. I also have served as Deputy Record Custodian since May 6, 1996. NFA is a futures association registered with the U.S. Commodity Futures Trading Commission ("CFTC") under Section 17 of the Commodity Exchange Act ("Act"). 7 U.S.C. § 21 (2006).
2. Under Sections 4f, 4k, 4n, 8a and 19 of the Act, the CFTC is authorized to collect and maintain registration records for each category of registration under the Act. 7 U.S.C. §§ 6f, 6k, 6n, 12a and 23 (2006). Under Sections 8a(10) and 17o of the Act, 7 U.S.C. §§ 12a(10) and 21o (2006), the CFTC has delegated to NFA the responsibility and authority to process and act as custodian of the official CFTC registration records for all categories of registration under the Act.
3. Pursuant to the CFTC's delegation of responsibility and authority, NFA receives, processes, stores and records all official CFTC registration records in the normal, ordinary and regular course of NFA's business. NFA has adopted Registration Rules, which the CFTC has reviewed and approved, governing access to and certification of the official CFTC registration records.
4. As Deputy Record Custodian, I am responsible for NFA's receipt, processing, storage and recording of all official CFTC registration records. As such, I am familiar with these records and the system that NFA uses to maintain these records.
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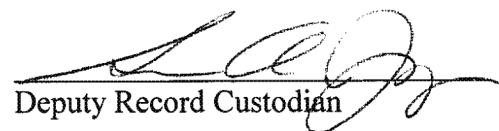
- 2 -

6. Under my supervision, I have caused to be conducted a review of these official CFTC records from July 1982 to the present, which disclosed the following information:

There is no record of a registration, an application for registration, or an exemption from registration in any capacity for James Damien Scott with the name and social security number as provided.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct.

Date: August 12, 2019



Deputy Record Custodian

National Futures Association  
300 South Riverside Plaza  
Suite 1800  
Chicago, Illinois 60606

**CERTIFICATION**

With respect to: Susan Lee Scott

1. I, Sandra A. Jung, am employed by National Futures Association ("NFA") as Registration Manager. I also have served as Deputy Record Custodian since May 6, 1996. NFA is a futures association registered with the U.S. Commodity Futures Trading Commission ("CFTC") under Section 17 of the Commodity Exchange Act ("Act"). 7 U.S.C. § 21 (2006).
2. Under Sections 4f, 4k, 4n, 8a and 19 of the Act, the CFTC is authorized to collect and maintain registration records for each category of registration under the Act. 7 U.S.C. §§ 6f, 6k, 6n, 12a and 23 (2006). Under Sections 8a(10) and 17o of the Act, 7 U.S.C. §§ 12a(10) and 21o (2006), the CFTC has delegated to NFA the responsibility and authority to process and act as custodian of the official CFTC registration records for all categories of registration under the Act.
3. Pursuant to the CFTC's delegation of responsibility and authority, NFA receives, processes, stores and records all official CFTC registration records in the normal, ordinary and regular course of NFA's business. NFA has adopted Registration Rules, which the CFTC has reviewed and approved, governing access to and certification of the official CFTC registration records.
4. As Deputy Record Custodian, I am responsible for NFA's receipt, processing, storage and recording of all official CFTC registration records. As such, I am familiar with these records and the system that NFA uses to maintain these records.
5. In accordance with Rules 803(6), 803(7), and 902(11) of the Federal Rules of Evidence, CFTC registration records: (1) are regularly kept for persons and entities registered with the CFTC; (2) are made at or near the time by, or from information transmitted by, a person with knowledge of those records; (3) are kept in the course of a regularly conducted business activity; and (4) are made as a regular practice in the course of regularly conducted business activity.

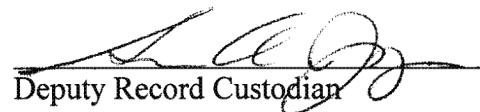
- 2 -

6. Under my supervision, I have caused to be conducted a review of these official CFTC records from July 1982 to the present, which disclosed the following information:

There is no record of a registration, an application for registration, or an exemption from registration in any capacity for Susan Lee Scott with the name and social security number as provided.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct.

Date: August 12, 2019



Deputy Record Custodian

National Futures Association  
300 South Riverside Plaza  
Suite 1800  
Chicago, Illinois 60606